

401(k) Plan Enrollment Form

Colorado Public Employees' Retirement Association
PO Box 5800 Denver, Colorado 80217-5800
303-832-9550 or 1-800-759-PERA (7372) • www.copera.org



Complete all information, MAIL THE TOP PORTION OF THIS FORM TO PERA, and give the bottom portion to your employer. Also complete the 401(k) Plan Tax Deferral Worksheet to determine if your planned contributions to PERA's 401(k) Plan are within IRS limits. Print legibly within the grids in black ink and sign both portions of this form. Please do not send photocopies of this form and do not staple, tape, glue, or highlight items on this form.

SSN

Grids for Social Security Number (SSN) input.

Your Name _____ Home Phone () _____
Last First M.I.

Address _____ Work Phone () _____
Street, Route, or Box Number City State ZIP Code

401(k) Beneficiary: List the beneficiary for this account. To list more than one, enclose a list of names, their Social Security numbers, and birthdates, and indicate whether they are primary or contingent beneficiaries (a contingent beneficiary will receive the account balance if the primary beneficiary is deceased); **sign and date the list.** If you have more than one beneficiary, the confirmation and other information you receive from PERA will say Multiple Beneficiaries. You may designate your estate as your beneficiary.

Name _____ SSN _____ Birthdate _____
Last First M.I.

Do not use this form to make changes to your investments if you are already enrolled in PERA's 401(k) Plan. You should access your account through the 401(k) phone line (1-800-833-8581) or the PERA Web site (www.copera.org) using your PIN.

Investment Mix: Specify how you want your contributions invested. **(Must total 100 percent in whole percents only.)**

Fidelity Freedom Funds are ready-made portfolios. If you select one of these funds it should be the only fund in the 401(k) Plan in which you invest.

- _____ % Northern Trust Short Term Fund
- _____ % PIMCO Low Duration Fund
- _____ % PIMCO Total Return Fund
- _____ % Dodge & Cox Balanced Fund
- _____ % PERA Growth & Income Stock Fund
- _____ % Vanguard S&P 500 Index Fund
- _____ % Fidelity Contrafund
- _____ % Dodge & Cox Stock Fund
- _____ % Janus Fund
- _____ % Morgan Stanley Institutional Fund Trust U.S. Mid Cap Core Portfolio Fund (not available after September 30, 2003)
- _____ % Janus Enterprise Fund (not available after September 30, 2003)
- _____ % American Funds EuroPacific Growth Fund

Fidelity Freedom Funds (select one fund for 100% contribution)

- _____ % Fidelity Freedom Income Fund (for those already retired)
- _____ % Fidelity Freedom 2000 Fund (retiring within 10 years)
- _____ % Fidelity Freedom 2010 Fund (retiring in 10-20 years)
- _____ % Fidelity Freedom 2020 Fund (retiring in 20-30 years)
- _____ % Fidelity Freedom 2030 Fund (retiring in 30-40 years)
- _____ % Fidelity Freedom 2040 Fund (retiring in 40 years or more)

Signature _____ Date _____

401(k) Plan Contribution Authorization Form for Employer

Member: Complete all information, separate at perforation, and deliver this bottom portion of the form to your payroll office; make copies for any other employers who will be deducting contributions. **Do not send this portion of the form to PERA, but remember to send the top portion of this form to PERA immediately.**

Name _____ SSN _____
Last First M.I.

Address _____ Work Phone () _____
Street, Route, or Box Number City State ZIP Code

I request a PERA 401(k) Plan monthly contribution of either _____% or \$ _____ to be deducted from my pay. This amount must not exceed 100 percent of IRS test compensation or \$12,000 annually (plus any allowed catch-up contribution).

Signature _____ Date _____

Please use the calculator on the PERA Web site to ensure you do not exceed IRS limits.